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Resilient nations

PROJECT DOCUMENT

Country: INDIA

Project Title: Enhancing Institutional and Community Resilience and strengthening the capacity of SDMA and DDMA's

Project Number (Award ID): 93522

Project Number (Atlas Output ID): 97731

Implementing Partner: Department of Revenue (DM), Government of Himachal Pradesh

Start Date: 2015

End Date: 2020

PAC Meeting date:

Brief Description

The geo-climatic conditions as well as its close proximity to the Himalayas make Himachal Pradesh among the most vulnerable to natural hazards in the country. The State is highly vulnerable to earthquake and flood. The entire state falls under one of the most seismically active regions falling in zone V. The state is also highly susceptible to landslide and avalanche hazards. Unplanned and unscientific development activities in the hill areas, indiscriminate felling of trees and removal of forests, growing urbanization and increasing pressure of population are the primary causes of landslides. Further, the climate change is expected to increase the frequency and intensity of current extreme weather events and give rise to new vulnerabilities with differential spatial and socio-economic impacts on communities. The climate change impacts are likely to have severe impacts on the hydrological cycle, water resource, forest & ecosystems, food security, health and other related areas.

Disasters strike the state with regular frequency, causing human and economic losses. Other than earthquakes which have a long return period, the state faces a very large number of smaller disasters which cause serious damage to the infrastructure, community assets and population. The ongoing project with the Revenue Department (DM), Government of Himachal Pradesh aims towards Enhancing Institutional and Community resilience and strengthening the capacity of SDMA and DDMA's. There is a need to address the challenges like that of insufficient staff in SDMA's and DDMA's, lack of knowledge of the subject among the officials and the community, no proper disaster management plan in place etc. to ensure a holistic approach that keeps human development at the centre of its interventions.

Responding to the challenges listed above, this project aims to

- Develop State, District and Department Disaster Management Plan
- Conduct State level and district level TOTs
- Conduct State and district level training courses for municipal officials, PRIs, NGOs, CBOs, task forces and other government officials
- Carry out mass awareness campaign
- Enhance community resilience through development of action and response plan at Panchayat Samiti level
- Provide human resource to strengthen the capacity of SDMA's and DDMA's

Contributing Outcome (UNSDF) 3 By 2022, there is improved and more equitable access to and utilization of, quality affordable health, nutrition, and water and sanitation services

Indicative CPD Output(s): 3.1 Effective institutional, legislative and policy framework in place to enhance the implementation of climate change and disaster risk reduction at national and subnational levels

Gender marker Gen 2

Total resources required:	\$736,894	
	2018 Exp. \$312,994	
Total resources allocated:	UNDP TRAC:	
	Donor:	
	Government:	Government of H P

	In-Kind:	
Unfunded:		

Agreed by (signatures)

UNDP	
	
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Date:	



I. RESULTS FRAMEWORK

Intended Outcome as stated in the UNSDF Country Programme Results and Resource Framework: By 2022, Environmental and natural resource management (NRM) is strengthened and communities have increased access to clean energy and are more resilient to climate change and disaster risks											
Outcome indicators as stated in the Country Programme Document Results and Resources Framework, including baseline and targets: 6.3 Number of state governments adopt and implement climate adaptation and disaster risk reduction strategies in line with the Sendai Framework Baseline 2 (2018) Target 6 (2022)											
Applicable Output(s) from the UNDP Strategic Plan as mentioned in the CPD: Countries are able to reduce the likelihood of conflict, and lower the risk of natural disasters, including climate change											
Project title and Atlas Project Number: Enhancing Institutional and Community Resilience and strengthening the capacity of SDMA and DDMA											
EXPECTED OUTPUTS	OUTPUT INDICATORS	DATA SOURCE	BASELINE		TARGETS (by frequency of data collection)					DATA COLLECTION METHODS & RISKS	
			Value	Year	Year 1	Year 2	Year 3	Year 4	Year 5		FINAL
Output 3.1: Effective institutional, legislative and policy framework in place to enhance the implementation of climate change and disaster risk reduction at national and subnational levels	Indicator 3 1 1 Number of national, state and sectoral disaster risk reduction and climate change strategy/action plans that also address gender considerations implemented Baseline 2, Target 10	<i>Government Database (State government records, Department of revenue (Disaster management)</i>	2	2017	90	160	194	-	-	194*	Primary data from the villages, secondary database assessment through Government records Risk Delays in updation of Government records

*This includes plans at state, district and block level

II. MONITORING AND EVALUATION

Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
Track results progress	The progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs	Half- yearly	Slower than expected progress will be addressed by the project management		
Monitor and Manage Risk	The project will identify specific risks that may threaten achievement of intended results and monitor risk management actions using a risk log This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards Audits will be conducted in accordance with UNDP's audit policy to manage financial risk	Quarterly	The risks will be identified by project management and actions taken to manage risk The risk log will be actively maintained to keep track of identified risks and actions taken		
Learn	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	Annually	Relevant lessons will be captured and used to inform management decisions		
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project	Annually	Areas of strength and weakness will be reviewed by the project management and used to inform decisions to improve project performance		
Review and Make Course Corrections	Internal review of data and evidence from all monitoring actions will be used to inform decision making	Annually	Performance data, risks, lessons and quality will be discussed by the Project Steering Committee (PSC) and will be used to make course corrections		
Project Report	A progress report will be presented to the Project governance mechanism and key	Annually, and at the end of the			

	stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk along with mitigation measures, and evaluation or review reports prepared over the period	project (final report)			
Project Review (Project Board / PSC)	The project's governance mechanism (i.e., PSC) will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the PSC will hold an end-of-project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences	Annually	Any quality concerns or slower than expected progress would be discussed by the PSC and management actions agreed to address the issues identified		

Evaluation Plan

Evaluation Title	Partners (if joint)	Related Strategic Plan Output	UNDAF/CPD Outcome	Planned Completion Date	Key Evaluation Stakeholders	Cost and Source of Funding
Terminal Evaluation		Countries are able to reduce the likelihood of conflict, and lower the risk of natural disasters, including from climate change.	By 2022, institutions are strengthened to progressively deliver universal access to basic services, employment, and sustainable livelihoods to the poor and excluded, in rural and urban	2020	Government of Himachal Pradesh, Department of Revenue	Government of Himachal Pradesh, Department of Revenue

III. MULTI-YEAR WORK PLAN

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Planned Budget by Year					RESPONSIBLE PARTY	PLANNED BUDGET		
		Y1	Y2	Y3	Y4	Y5		Funding Source	Budget Description	Amount
Output 3.1: Effective institutional, legislative and policy frameworks in place to enhance the implementation of climate change and disaster risk reduction at national and subnational levels	3 1 1 Development of Offsite industrial plan	\$29,437						Government of HP		\$29,437
	3.1.2 Development of Panchayat Samiti level action plan	\$15,039						Government of H P		\$15,039
	3 1 3 Development of panchayat Samiti level response plan	\$21,323						Government of H P		\$21,323
	3 1 4 Strengthening the capacity of SDMAs and DDMA's	178978	178978	178978				Government of H P		536934
	3.1.5 Urban Development capacity building (CCBP/NULM)	13679								13679
Sub-Total for Output 1										616412
<i>Gender marker.¹ GEN 2</i>										
Project Management cost		\$25,500	15000	15000						55500

¹ Pls indicate gender marker category

Pls note the Gender reporting categories are GEN3 (Gender equality as a principle objective), GEN2 (Gender equality as a significant objective), GEN1 (Limited contribution to gender equality), GEN0 (No contribution to gender quality)

Misc (Travel, recruitment, procurement)		5450	2000	2000					9450
General Management Support		23587	15972.5	15972.5					55532
TOTAL		312994	211950	211950					\$ 736,894

Annex: Risk Analysis. Use the template below

Project title			Project Number		
#	Description ²	Risk Category ³	Impact & Probability ⁴	Risk Treatment / Management Measures ⁵	Risk Owner ⁶
1	Inability to attract and retain human resource for project positions	Operational	Text P=1 I=3	The project will tap into human resource talent such as disaster management professionals, IT coordinators to work with the State Government Further, UNDP will ensure wide outreach of job opportunities through its past and present partner organizations working across the state to attract best talent.	Project Manager
2	Less Support from the local government	Operational	Text P=1 I=2	Regular interaction with the gram panchayat, district level officials and BDOs	Project Manager
3	Uncertainty regarding the future of one of the component of the project	Strategic	Text P=3 I=3	Efforts are being made to redesign certain activities to ensure the state government is on board and continues the partnership	Project Manager
4			Text P= I=		
5			Text P= I=		
6			Text P= I=		

² Enter a brief description of the risk Risk description should include future event and cause Risks identified through HACT, SES, Private Sector Due Diligence, and other assessments should be included

³ Risk category Environmental, Financial, Operational, Organizational, Political, Regulatory, Strategic, Other

⁴ Describe the potential effect on the project if the future event were to occur

Enter **probability** based on 1-5 scale (1 = Not likely, 5 = Expected) Enter **impact** based on 1-5 scale (1 = Low, 5 = Critical)

⁵ What actions have been taken/will be taken to manage this risk

⁶ The person or entity with the responsibility to manage the risk